

01-02-01

PTO/SB/05 (4/98)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

For new non-provisional applications under 37 C.F.R. § 1.53(B)

Attorney Docket No. M61.12-0324

First Inventor or Application Identifier Philipp H. Schmid

Title CONTEXT FREE GRAMMAR ENGINE FOR SPEECH RECOGNITION

Express Mail Label No. EL636003915US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Sheets 44]
(preferred arrangement set forth below
- Descriptive title of the Invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. § 113) [Total Sheets 8]
4. Oath or Declaration [Total Sheets 3]
a. Newly executed (original or copy)
b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (Identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement
(when there is an assignee) Power of Attorney
9. English Translation Document
10. Information Disclosure Statement (IDS/PTO – PTO) Copies of IDS
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
13. *Small Entity Statement filed in prior application.
Statement(s) (PTO/SB/09-12) Status still proper and desired.
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Request and Cert. Under 35 USC 122 (Non-Pub)
16. Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation -in part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

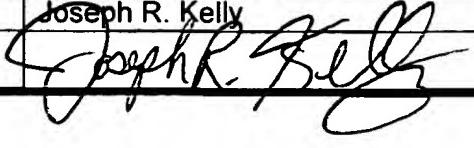
17. CORRESPONDENCE Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

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Name (Print/type) Joseph R. Kelly Registration No. (Attorney/Agent) 34,847

Signature  Date 12/29/00

12/29/00

JC962 U.S. PTO

FEE TRANSMITTAL

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1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champlin & Kelly, P.A.			3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th rowspan="2">Code</th> <th rowspan="2">Large Entity Fee (\$)</th> <th rowspan="2">Small Entity Fee (\$)</th> <th colspan="3">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>25</td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>2,520</td> <td>For Filing a Request for Reexamination</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>280</td> <td>945</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>55</td> <td>Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,240</td> <td>241</td> <td>620</td> <td>620</td> <td>Petition to Revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,270</td> <td>242</td> <td>650</td> <td>650</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>143</td> <td>470</td> <td>243</td> <td>250</td> <td>250</td> <td>Design issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>240</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td colspan="6">Other Fee (specify) _____</td> </tr> <tr> <td colspan="3">Subtotal (2) \$ 304</td> <td colspan="3">Subtotal (3) \$</td> </tr> </tbody> </table>			Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description			Fee Paid	Fee	Fee	Fee Description	105	130	205	65	65	Surcharge - Late filing fee or oath		127	50	227	25	25	Surcharge - Late provisional Filing Fee or cover sheet		139	130	139	130	130	Non-English specification		147	2,520	147	2,520	2,520	For Filing a Request for Reexamination		115	110	215	55	55	Extension for reply within first month		116	390	216	195	195	Extension for reply within second month		117	890	217	445	445	Extension for reply within third month		118	1,390	218	695	695	Extension for reply within fourth month		128	1,890	280	945	945	Extension for reply within fifth month		120	310	220	155	155	Filing a brief in support of an appeal		121	270	221	135	135	Request for oral hearing		148	110	248	55	55	Terminal Disclaimer Fee		140	110	240	55	55	Petition to Revive - unavoidable		141	1,240	241	620	620	Petition to Revive - unintentional		142	1,270	242	650	650	Utility/Reissue issue fee (inc. advance copies)		143	470	243	250	250	Design issue fee (inc. advance copies)		122	130	122	130	130	Petitions to the Commissioner		123	50	123	50	50	Petitions related to provisional applications		126	240	126	240	240	Submission of Information Disclosure Statement		581	40	581	40	40	Recording each patent assignment per property (times number of properties)		Other Fee (specify) _____						Subtotal (2) \$ 304			Subtotal (3) \$		
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Signature

(Joseph R. Kelly)

Reg. No. 34,847Date 12-29-00Deposit Account No. 23-1123

WESTMAN, CHAMPLIN & KELLY

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PATENT AGENT

Express Mailing No. : EL636003915US
Date of Deposit: December 29, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

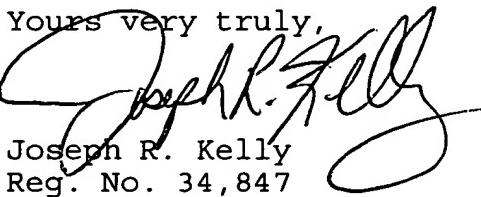
Re: New U.S. Patent Application of:
Applicant: Philipp H. Schmid et al.
For : CONTEXT FREE GRAMMAR ENGINE FOR SPEECH
RECOGNITION SYSTEM
Our File : M61.12-0324

Dear Sir:

Enclosed for filing are the following papers in connection
with the above-identified patent application:

1. Complete specification and claims.
34 pages Specification
9 pages claims
1 page Abstract
2. Executed Combined Declaration and Power of Attorney
(3 pages).
3. 8 sheets of drawings.
4. Fee Calculation Sheet (Duplicate)
5. Filing Fee (\$1014.00) Check Enclosed.

A filing date under 37 CFR §§ 1.10(b) and 1.53(b) of December 29, 2000 is respectfully requested. The enclosed materials are being sent "Express Mail Post Office to Addressee" as of the date of this letter.

Yours very truly,

Joseph R. Kelly
Reg. No. 34,847

JRK:slg
Enc.